

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Guillermo Guzman, et al

Serial No:

Filed:

For: UNDERLAYER FOR POLYSILICON TFT

Examiner: TBA

Group Art Unit: TBA

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98**

Commissioner of Patents  
Alexandria, VA 22313-1450

Dear Sir:

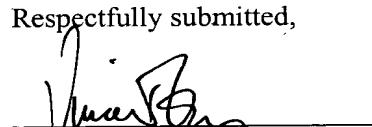
The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

Each of the enclosed reference(s) was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

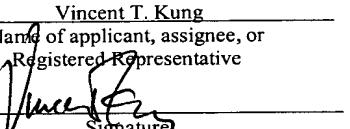
Respectfully submitted,

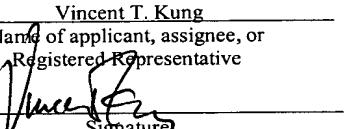
  
\_\_\_\_\_  
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Date: September 23, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Alexandria, VA 22313-1450 on September 23, 2003

Date of Deposit

  
\_\_\_\_\_  
Vincent T. Kung  
Name of applicant, assignee, or  
Registered Representative

  
\_\_\_\_\_  
September 23, 2003  
Date of Signature

FORM PTO-1449 (MODIFIED)		ATTORNEY DOCKET NO.	SERIAL NO.
<p style="text-align: center;"><b>LIST OF PATENTS AND PUBLICATIONS</b></p> <p style="text-align: center;"><b>FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT</b></p>		SP02-152	
		APPLICANT Guillermo Guzman, et al.	
		FILING DATE	GROUP: TBA

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA	5,108,843	04-28-92	Kouichi Ohtaka et al.	428	446	
	AB	5,970,368	10-19-99	Hideyuki Sasaki et al.	438	487	
	AC	6,086,945	07-11-00	Atsushi Kamata et al.	427	74	
	AD	6,284,682	09-04-01	Tomasz Troczynski et al.	501	12	
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

#### FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation Yes	No
	AL	0 810 638	12-03-97	EPC			X	
	AM							
	AN							
	AO							
	AP							
	AQ							

#### OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	
AS	
AT	
AU	
AV	
AW	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.